

# ETIOPATHOGENY OF CONGENITAL CARDIAC MALFORMATIONS

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## Abstract

The prevention of congenital cardiac malformations has long been hampered by a lack of information regarding the modifiable risk factors. Over the last century there has been a major progress on understanding the genetic causes of congenital cardiac diseases, but also on identifying the genetic anomalies specific for certain types of malformations. The percentage of cases that could be prevented through changes in the fetal environment is unknown at the moment. A study suggests that the fraction of identifiable imputable causes and which could be modified can reach 30% for certain types of defects. The purpose of this paper is to analyze the present situation of knowledge regarding the teratogenetic, genetic and risk factors, of cardiac structural anomalies, in order to establish guidelines for the change of the future parents' lifestyle and for a better monitoring of pregnancies. The study of teratogenetic factors has focused on those which can influence the cardiac development during the gestation weeks, taking into account also the limitation of the period of exposure before pregnancy (3 months) and during the first quarter of pregnancy.

**Keywords:** etiopathogeny, congenital cardiac malformations, child

## Introduction

The epidemiology of noninfectious diseases establishes the prevalence and association between certain diseases and the factors involved in etiology and pathogeny. Information obtained, represents a solid argument for the introduction of surveillance measures for monitoring both the population's health status and the national programs of public health.

On the basis of epidemiologic data, in the developed countries there has been established that congenital cardiac malformations (CCM) represent a priority problem for the public health, since they represent 25% of the total of malformations.

Congenital cardiac malformations, according to a definition by Mitchell and el, represent "structural anomalies of the heart or of the major vessels at the base of the heart, present at birth, having or which will be having a functional echo"(1,2). Most of them originate in the abnormal morphogenesis of the primitive cardiac tube in the first 50 days of embryonic life.

Recognized associations are consigned in Down, Noonan, Turner, Williams, Marfan or Holt-Oram syndromes. 40% of the children with Down's syndrome

present an atrio-ventricular channel, while most of those with Turner's syndrome present obstructive lesions of the left heart (3).

Approximately 0.8 % of the viable births are complicated by a cardiovascular malformation. This aspect doesn't take into account the most frequent two cardiac anomalies: the bicuspid aortic valve disease and the valvular anomaly associated with the mitral valve prolapse. Recent studies indicate greater incidences than those that are known regarding VSD, the persistence of both the left superior vena cava and the atrial septal aneurysm. Hence, it is revealed very clearly that the old statistical analyses have underestimated a lot the incidence of the congenital cardiopathies, the recent studies forecasting an incidence of 50 to 1000 live newborns (4).

The huge progress achieved with respect to diagnosis and treatment of congenital cardiac malformations will be followed by the prolongation of these persons' life, with the possibility to come to maturity and reproduce.

On the other hand, despite the advanced therapies available at present, the morbidity and mortality associated with some types of congenital cardiac malformations are still significant (for instance the hypoplastic left heart syndrome).

## ETIOPATHOGENY

### GENETIC FACTORS

For the clinician whose job is to look after children with congenital cardiac malformations, it is very important to know if these defects are due to genetic modifications, for the following reasons:

- other system of organs might be affected too;
- he can establish a diagnosis for the clinical evolution;
- the family should be informed about the risk of recurrence in phratia which is higher than that of the general population;
- establishing a genetic cause imposes the genetic testing also of the other members of the family.

### GENETIC TESTS USED IN THE EVALUATION OF CONGENITAL CARDIAC MALFORMATIONS

The genetic tests used to establish the genetic modifications in children with congenital cardiac malformations include cytogenetic techniques, fluorescence in situ hybridization (FISH) and the analysis of the DNA mutations.

Before using the cytogenetic techniques, the standard analysis of chromosomes established the presence of

chromosomal aberrations between 8-13% in newborns with congenital cardiac malformations. This percentage has increased since the molecular techniques were used (5).

The standard analysis of the metaphase karyotype is useful especially for the diagnosis of chromosomal affections which involve the modification of the number of chromosomes. A more sensitive test and the high-resolution stripes define better the structural chromosomal anomalies.

The FISH cytogenetic technique diagnoses the microdeletions, small overlaps and subtle translocations. The Williams, Alagille and DiGeorge syndromes can be diagnosed only by using this FISH technology.

*If the karyotype is normal in a patient suffering from facial dimorphism, congenital anomalies (including heart anomalies) and mental and physical development retardation, the subtelomeric FISH studies are indicated as well as additional examinations of the other members of the family.*

*The families in which a subtelomeric malformation is identified should receive medical advice from a genetician who is able to offer them the adequate criteria of evaluation.*

Other genetic techniques involve methods of discovery of the genes which have caused the disease (by cloning the gene) and analysis of the DNA mutations. The scope and heterogeneity of the genes and mutations identified so far, suggest that they are associated with a variety of pathogenetic mechanisms, including the loss of expression and inactivation, or the loss or gain in a function through allelic mutation.

*The challenge of the future is to define the pathogenesis that causes mutations and which in its turn, will offer the opportunity to develop diagnosis and treatment strategies, as alternatives to those used so far.*

#### CYTOGENETIC TESTING

*It is necessary in the following situations:*

- any infant or child with a phenotype of known chromosomal syndrome;
- any infant or child with congenital cardiac malformations associated with:
  1. facial dimorphism;
  2. statural retardation that cannot be attributed to cardiac malformation;
  3. mental retardation;
  4. other congenital anomalies.
- infants or children with a family background in multiple abortions and/or brothers with congenital malformations;
- prenatal ecographic diagnosis of a major cardiac malformation and/or of visceral malformations.

The identification of the genetic cause is beneficial because it allows for an examination of the other members of the family, the genotyping being very useful. The persons with negative genotype have a low risk for cardiovascular malformations and their clinical examination is not necessary. The persons with positive genotype will be periodically examined, to monitor the development of the phenotype.

#### ETHICAL CONSIDERATIONS

The genetic predictive testing of children and adolescents must not take place as a direct result of the testing before the patient has reached the age of 18, except when there are clinical benefits.

The genetic testing can establish a genetic mechanism of the disease which offers an important opportunity for the genetic counseling of the whole family.

#### TERATOGENETIC FACTORS

Teratogenetic factors are responsible only for a minor part of congenital cardiac malformations, but they have a great "quality"- they are "modifiable".

The environmental or exposure conditions during pregnancy have been classified into 5 categories:

1. factors which can be associated with a low risk for congenital cardiac malformations;
2. factors which can be associated with a high risk for congenital cardiac malformations;
3. factors for which information regarding the risk for congenital cardiac malformations are unconvincing;
4. factors that have been studied, but for which there haven't been found associations with congenital cardiac malformations up to the present;
5. factors which have been studied, but for which there is too little available information to determine the risk (6).

Up to the present, there have not been published prospective comprehensive studies that would examine environmental exposures or exposures of other nature, associated with congenital cardiac malformations.

The best information available is from large populations, extracted from case-control studies specially conceived to investigate possible risk factors for congenital cardiac malformations.

Two studies deserve to be mentioned - Baltimore-Washington Infant (BWI) (prospective study) (7), and The Study of the National Institute for Public Health, in Helsinki (8).

#### MULTIVITAMINS AND FOLIC ACID

Recent discoveries state that the use of additional multivitamins before pregnancy, that contain folic acid, can reduce the risk for congenital cardiac malformations, that is similar to that for neural tube defects. This result was obtained for the first time following the analysis of the data from a random study. The supplement of folic acid was associated with a global reduction of 60% of the risk for congenital cardiac malformations (9), and of 25% in another case-control study conducted in Atlanta (10). Other studies showed a drop in a one congenital cardiac malformation, and not in all. For SDV two studies showed a reduction in the risk by 40%, and respectively 85%. (10).

The studies conducted on high-risk groups bring justifiable evidence in correspondence with the protective effect of the supplements of folic acid multivitamins:

- in women who have used medications that contain folic acid antagonists (11);
  - in maternal febrile diseases (12);
- Similar conclusions have been reported also for other malformations (13).

## MATERNAL DISEASES

### Maternal fenilcetonuria

If untreated, it is associated with a 6-time increase in the risk for congenital cardiac malformations (14). The most frequent are tetralogy of Fallot, VSD, CPA and single ventricle defect. The control of diet before pregnancy and during pregnancy reduces this risk (15).

### Maternal diabetes

Congenital cardiac diseases have been constantly associated with pregestational diabetes and less with the gestational one (7,16). The associated specific types are: transposition of great vessels, atrial septal and nonchromosomal ventricular defects, left heart hypoplasia, outflow tract defects and CPA (7,17). Malformations appear before the seventh week of pregnancy (18), with a direct relation between their appearance and the glycemic control during organogenesis (19). The strict control of glycaemia before and during pregnancy reduces the risk at levels comparable with those of the general population (20).

Taking into account the increase in the prevalence of risk factors for diabetes mellitus, it is important to obtain a better understanding of the present impact of both types of diabetes in congenital cardiac malformations (21).

The mechanisms suspected to be involved are:

- the high level of glycaemia would perturb the expression of a regulatory gene leading to embryotoxic apoptosis (22);
- the oxidative stress which results from the metabolic disorders and free radicals (23).

### Rubella, febrile diseases, flue

Maternal infection with rubella during pregnancy is associated with congenital rubella syndrome. Of the cardiac malformations the most frequently associated are: CPA, pulmonary valve anomalies, peripheral pulmonary stenosis, VSD (24). The risk for rubellie embryopathy can be eliminated by guaranteeing that women of fertile age received their anti-rubella vaccine (25).

Several recent studies have pointed out that any febrile disease, including flue, during the first quarter of pregnancy increases twice the risk for congenital cardiac malformations, (pulmonary stenosis, tricuspid atresia, aortic coarctation, conotruncal defects, VSD) (7,12).

One of the possible mechanisms is the change in apoptosis which is involved in cardiac morphogenesis (26). Changes can be due to fever, infection itself, or use of medications to combat the fever or infection.

### Obesity

Conclusions were not constant regarding the contribution of obesity as a teratogenic factor in the appearance of congenital cardiac malformations. A study reported an association between an index of corporal mass > 26 Kg/m<sup>2</sup> with a group of malformations of the great vessels

(27). Other studies reported an increase between 2 and 6 times in the risk for congenital cardiac malformations (28).

This infection seems to be rather a predisposing factor than a teratogenic one. Nevertheless, obesity is a complex condition which should be studied carefully, because it can be associated with other nutritive factors or type 2 diabetes mellitus.

### HIV infection

This infection can be transmitted vertically from mother to fetus. Children infected through in utero HIV1 transmission are subject to an increased risk of dilatative cardiomyopathy and left ventricular hypertrophy (29), but at the moment not to cardiovascular congenital structural malformations.

### Epilepsy

The risk for children born by epileptic mother is high. However, it has been quite difficult to establish whether maternal convulsions are independently responsible for this fact or it should be taken into consideration only the treatment through the direct action of anticonvulsants or their indirect action through the interference of the folic acid metabolism (30).

## MATERNAL EXPOSURE TO THERAPEUTIC DRUGS

U.S. Food and Drug Administration have classified a series of medications depending on their risk for causing congenital malformations, if used during pregnancy.

### Thalidomide

It is known to be a cardiac teratogen, malformations caused by it varying from **atrial and ventricular septal defects** to **complex conotruncal defects**.

### Vitamin A congeners/retinoids

The maternal contribution of isotretinoin causes congenital cardiac malformations. **The characteristics** of embryopathy caused by the isotretinoin are: central nervous system malformations, micrognathia, palatoschizis, thymus malformations, ocular, cardiac and great vessels malformations. The frequency of malformations does not seem to be high in persons having interrupted the treatment before conception (31). These medications are contraindicated during pregnancy and among women who are on the verge of receiving in vitro fertilization. Etretinate persists for a long time inside the body after the treatment has been stopped, while congenital malformations can be observed also 45 months later since stopping the treatment (32). The length during which Acitretin can cause congenital cardiac malformations is between 50 and 60 hours since stopping the treatment.

It's very unlikely that the tretinoin topical treatment, in usual doses, should present a teratogen substantial risk. Data is however insufficient to state there is no risk.

### Antibiotics

Many studies' results have shown that there is no association between the use of treatment with ampicillin or penicillin during pregnancy and a high risk for congenital cardiac malformations (33).

Epidemiologic data regarding maternal treatment with metronidazole in the form of ovules brings controversial results in the first quarter of pregnancy. Two meta-analyses state that the risk for congenital malformations has not increased (34). One of the studies conducted at BWI, states that the maternal use of metronidazole during pregnancy proved to be associated with a high risk for malformations of great vessels and for membranous VSD (35).

Two ample studies support the association between the sulfamethoxazole-trimethoprim treatment in the first quarter of pregnancy and the increase in the risk for congenital cardiac malformations (11). The risk was reduced when the mother received folic acid supplements.

#### Antiretroviral treatment

An analysis by the Antiretroviral Pregnancy Registry did not show an increase in congenital malformations in women who receive treatment in the second or third quarter of pregnancy.

#### Antifungal treatment

Two studies, a cohort one conducted in Great Britain and a Danish one analyzed the association between the administration of fluconazole, one oral dose, in the first quarter of pregnancy, and the increase in the risk for congenital cardiac malformations. In both cases the risk did not increase. Four cases, in which mothers had been treated since the first quarter of pregnancy and in a larger dose, were followed by the appearance of cardiac malformations. These observations suggest that it is necessary for the research to go on (36).

#### Anticonvulsant treatment

Even though there are many epidemiologic studies, the present available data is insufficient to solve controversies regarding the fact that malformations are due to epilepsy or anticonvulsant treatment. This treatment involves many times the association of several anticonvulsant medications, in series or simultaneously, while a witness group is out of the question (37). In other words there are characteristic anomalies associated with some of the anticonvulsants (hydantoin, phenytoin, valproic acid).

Lithium- several recent retrospectives suggest that lithium is not a teratogen (38).

#### Benzodiazepines, barbiturates, tranquilizers

The treatment with diazepam during the first quarter of pregnancy was not associated with a risk for congenital cardiac malformations (39) and neither was the occasional treatment with amobarbital (40).

The treatment with sympathomimetics was not associated with malformations (40).

Corticosteroids were not associated with congenital cardiovascular malformations.

#### Folate antagonists

The maternal treatment with sulfasalazine or with other inhibitor of dihydrofolate reductase during the second and third quarter of pregnancy was associated with congenital cardiac malformations. Folic acid supplements prevented this association.

#### Non-steroidal anti-inflammatory treatments

There have been reports of persistent pulmonary hypertension and premature closing of the arterial channel, in children whose mothers used non-steroidal anti-inflammatories (naproxen, diclofenac, ketoprofen, indomethacin) (41) during pregnancy.

#### Feminine hormones

Not until recently it was considered that the maternal use of oral contraceptives presented a risk factor (42), but recent studies have not found any association with congenital cardiac malformations.

The treatment with clomiphene has been analyzed in several case-control studies and it has been proved that it increased the risk for aortic coarctation, conotruncal defects and tetralogy of Fallot.

Narcotics – two case-control studies reported the association of the use of codeine during the first quarter of pregnancy with congenital cardiac malformations, but the methodology used raises questions about the validity of these results (43); –other two studies did not find any association (44).

#### MATERNAL EXPOSURE TO NON-THERAPEUTIC DRUGS

Caffeine- a case-control study included 277 infants with congenital cardiac malformations, evaluating the ingestion of caffeine, tea and cola, at the end, not a single risk was identified for any of these drinks (40).

#### Alcohol

Since 1973, when the fetus was diagnosed with the syndrome due to the consumption of alcohol during pregnancy, many studies have described a wide range of teratogenic effects including cardiovascular malformations (45). In BWI, the association between alcohol and congenital cardiac malformations was limited with a high risk only for small, muscular VSD. A similar study conducted in Finland reported a double risk for ASD, in children whose mothers had consumed alcohol during pregnancy (46).

#### Cocaine and marijuana

A meta-analysis carried out from other 6 studies, pointed out there is no significant association between the consumption of cocaine during pregnancy and fetal cardiovascular malformations (47). Marijuana, evaluated in BWI, was associated with a small increase in the risk for Ebstein disease (48).

#### Smoking

Some recent studies have reported associations between maternal smoking during pregnancy and combined cardiac malformations, but these associations have not been corroborated with comprehensive studies.

#### ENVIRONMENTAL FACTORS

##### Organic solvents

- exposure to degreasing substances was associated with a high risk for: hypoplastic left heart syndrome, aortic coarctation, pulmonary stenosis, transposition of the great vessels with intact ventricular septum, tetralogy of Fallot, total anomalous pulmonary venous return, Ebstein disease;  
- professional exposure to organic solvents was associated with a high risk for VSD (49);

- exposure to paint and shellac was associated with conotruncal malformations;
- products from mineral oils, was associated with aortic coarctation (50).

Herbicides, pesticides, rodenticides

In BWI, the exposure to herbicides and pesticides was associated with a high risk for the transposition of the great vessels, while the exposure to pesticides was associated with pulmonary venous return and VSD (51).

Contamination of underground waters

There was reported a high risk for congenital cardiac malformations among children whose parents had come into contact with waters contaminated with trichlorethylene (52).

MATERNAL SOCIO-DEMOGRAPHIC CHARACTERISTICS

Age

In BWI, the mother's age:

- > 30 years, was associated with a high risk for the transposition of the great vessels and Ebstein disease;
- > 34 years, was associated with a high risk for bicuspid aortic valve and ASD;
- < 20 years, was associated with a high risk for tricuspid atresia;

A study in Atlanta associated the advanced maternal age (35-40) with a high risk for all congenital cardiac malformations (53).

Race

In comparison with black infants, white infants proved to have a high prevalence of Ebstein disease, aortic stenosis, VSD, ASD, aortic coarctation, common arterial trunk, transposition of the great vessels, tetralogy of Fallot, CAP, pulmonary stenosis, hypoplastic left heart syndrome (54).

History of Obstetrics

A maternal history of: *spontaneous abortions*- was associated with a high risk for tetralogy of Fallot and Ebstein disease; *birth of dead children in antecedents* – non-chromosomal septal defects; *premature births in antecedents* - ASD;

These pathological antecedents can actually be translated into concern for teratogenic exposures or for an inherent, increased sensitivity to congenital cardiac malformations.

Stress

Seen as maternal reference to loss of job, divorce, separation or death of a close relative or friend, stress proved to be associated with an increased risk for conotruncal cardiac malformations, especially in mothers with university education (55).

PATERNAL SOCIO-DEMOGRAPHIC CHARACTERISTICS

Paternal factors can play an important part in the origin of general congenital defects and cardiac defects in particular. New mutations are more frequent among elder parents than among people in general. A general model for the increase in the risk simultaneously with the ageing of the father was found for: ASD, VSD, and CPA (56).

BWI reported an association of the consumption of paternal cocaine with an increased risk for any of congenital cardiac malformations, and first of all DSV and tricuspid atresia. A statistically significant relation between smoking and paternal consumption of alcohol could not be demonstrated.

**Conclusions**

1. Because congenital cardiac malformations represent some of the most prevalent congenital malformations, with a significant morbidity throughout life, and an important cause of mortality attributed to congenital malformations, the development of efficient prevention measures is essential from the perspective of public health.
2. A lot of the recent evidence is preliminary, and not always proves to be of causality. Nevertheless, some reasonable recommendations can be offered to future parents and medical staff to reduce the risk of having a child with congenital malformations.
3. Future parents should discuss with the family doctor or obstetrician about the factors that can affect pregnancy, such as alimentation, physical activity, lifestyle and way of working.
4. Women who are at the fertile age should receive daily multivitamins containing folic acid during the period before pregnancy and avoid certain types of behaviors, such as exposure to organic solvents. Also, they should be tested for diabetes and precedent exposure to rubella, and if they are to use any type of medication it is better for them to ask for the obstetrician's advice.
5. Depending on the anamnestic data of each family, genetic tests should be done for children with congenital cardiac malformations.
6. The scope and heterogeneity of the genes and mutations identified so far as being responsible for some of congenital cardiac malformations, suggest that they are associated with a variety of pathogenetic mechanisms. The challenge of the future is to define the pathogenesis that causes mutations and which in its turn, will offer the opportunity to develop diagnosis and treatment strategies, as alternatives to those used so far.

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