

# EVALUATION OF PHARYNGEAL AIRWAY VOLUME USING CONE – BEAM COMPUTED TOMOGRAPHY – CASE REPORT

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## Abstract

**Background:** Visualization and calculation of the airway dimensions are important because an increase of airway resistance may affect the development of dentofacial structures by altering the breathing pattern of growing patients. The aim of this study is to shed light on the resources provided by cone – beam computed tomography in the diagnosis of possible physical barriers that may reduce upper airway permeability by presenting one case report. **Material and method:** A patient clinically diagnosed with oral respiratory syndrome initially underwent a profile teleradiography and orthopantomography. For a better assessment of the airway space, it was recommended to perform a cone – beam computed tomography. **Results:** On demand 3D software program automatically provides the total area and volume of any predefined region as well as the location and size of the narrowest portion of airspace. **Conclusions:** On demand 3D software allows rapid segmentation of the upper respiratory tract. Segmentation can be checked on 2D sections (axial, frontal and sagittal). Three – dimensional measurements of airway volume and most constricted surface can be accurately performed.

**Keywords:** Cone – Beam Computed Tomography, teleradiography, pediatric dentistry, upper airway.

## Introduction

Cone beam computed tomography (CBCT) is probably one of the most revolutionary innovations in the field of

pediatric dentistry and offers a new platform for orthodontic diagnosis and treatment planning.

Nowadays, the use of cone beam computed tomography (CBCT) is increasing in orthodontic practice due to its 3D diagnostic ability with the continued reduction in cost and radiation exposure. [1]

Different three – dimensional (3D) imaging modalities are available to investigate airway morphology and the surrounding soft tissues. This allows for the quantification of volumetric, area and linear measurements. [2]

Upper airway space assessment is a routine procedure in orthodontic diagnosis and treatment planning. Most studies have been based on profile teleradiography because such radiographs are part of the usual records for good planning of orthodontic treatment. Although it provides a wealth of information, teleradiography is limited in the sense that it produces two – dimensional images (height and depth) of a three – dimensional structure, thus preventing the correct assessment of the size and complexity of this structure.

Although numerous methods with 2-dimensional (2D) cephalograms, providing limited data such as linear and angular has been proposed for upper airway studies, there were studies that evaluate the airway have introduced the use of CBCT, which made the 3D diagnosis of the patient became more accessible in dentistry. The segmentation of the airway can be done manually or automatically. Manual segmentation seems to be the most accurate method and allows for the most operator control. [2]

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Manual segmentation needs the operator to delineate the airway slice by slice and render the data into a 3D volume for analysis. [3]

In the study about developing pediatric three-dimensional upper airway normative values, the authors, insisted that clinicians should utilize the proposed-there upper airway normative values for screening and assist in the timely diagnosis and management of pediatric sleep apnea. [4] CBCT made it possible to obtain 3D images with the volumes of all structures in the maxillofacial complex. With the use of specific software and procurement protocols based on individual needs, these digital volumetric scans can be transformed into multiple flat images (transverse, frontal and sagittal). The software also allows measurements of bone structures to be obtained, as well as 3D evaluation of soft tissues and shapes, volumes and features of the face and upper respiratory tract.

CBCT has contributed to bringing new information in orthodontics regarding the space of the upper respiratory tract, by acquiring three-dimensional images, which allows professionals to accurately determine the narrowest area, where the greatest resistance to air passage occurs.

### Objectives

The aim of this study is to shed light on the resources provided by CBCT in the diagnosis of possible physical barriers that may reduce upper airway permeability by presenting one case report



Fig. 1. Profile teleradiography of patient

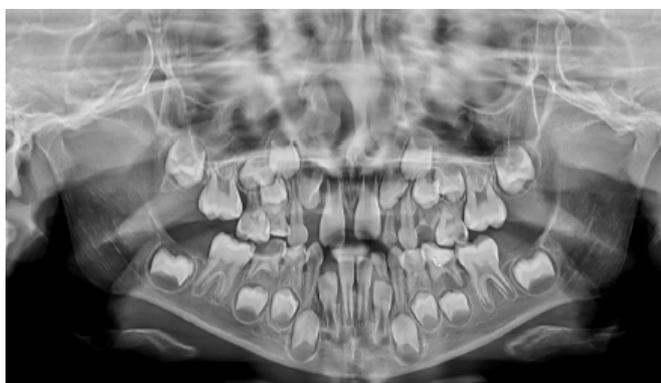


Fig. 2. Orthopantomography of patient

These images were transformed into DICOM (Digital Imaging and Communications in Medicine) files that allowed export to other assessment software, which in turn allow a wider range of resources useful in assessing airspace.

The On demand 3D version is an airway space analysis tool that not only allows you to evaluate the shape and contour of the upper airways in 3D, but can also calculate the volume, sagittal areas and the smallest predefined cross-sectional area in the airspace upper respiratory tract. It provides segmentation of upper airway space through rotatable and amplifiable images. The program has two

### Materials and Methods

A patient clinically diagnosed with oral respiratory syndrome initially underwent a profile teleradiography (figure 1) and orthopantomography (figure 2). For a better assessment of the airway space, it was recommended to perform a CBCT examination covering the neck region. CBCT examination for airway assessment has a specific image acquisition protocol. Patients should be placed in the maximum intercuspis position, with the mid-sagittal plane perpendicular to the horizontal plane, the Frankfurt plane parallel to the horizontal plane. Upon completion of the CBCT examination, some manipulations may be performed using the software provided by the scanner manufacturer. The raw image (raw data) has been reconstructed to allow visualization of 3D reconstruction and multiplanar sections. These two-dimensional images can be viewed from any direction. The most commonly used are sagittal, coronal and transverse images. Images are best viewed with the help of specific tools. Images can be rotated and magnified to allow a better evaluation of a particular region. Images can also be played back from any angle, at any scale or position. We applied different filters thus allowing the differentiation between tissues with different densities and the use of transparency that allows the visualization of hard tissue among soft tissue. A linear measuring instrument is available, which can measure the height, depth and width of any part of the pharynx.

threshold filters: for hard tissue and soft tissue, displaying the airway space along with the bone tissue or separately.

To evaluate the images in the program, we first had to import the DICOM format files from the CBCT images. Once imported, the three-dimensional image of the patient's head was oriented in virtual space, similar to the cephalostat, so that the horizontal Frankfurt plane is parallel to the transverse plane, the medioagittal plane coincides with the midline of the individual and the cranial plane is oriented so that it passes beyond from the lower edge of the orbits. In asymmetric cases, the orientation should be as close as possible to these reference plans. This virtual orientation allowed the head to rotate properly so that the bilateral

structures coincided. Once an instrument is selected for the assessment of the upper airway space, it was necessary to define, on the sagittal section, the area of interest in the upper airway space.

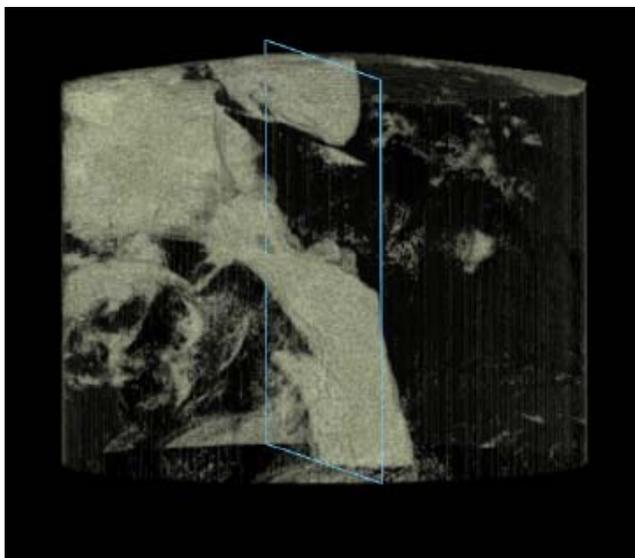
**Results**

The program automatically provides the total area and volume of any predefined region as well as the location and size of the narrowest portion of airspace.

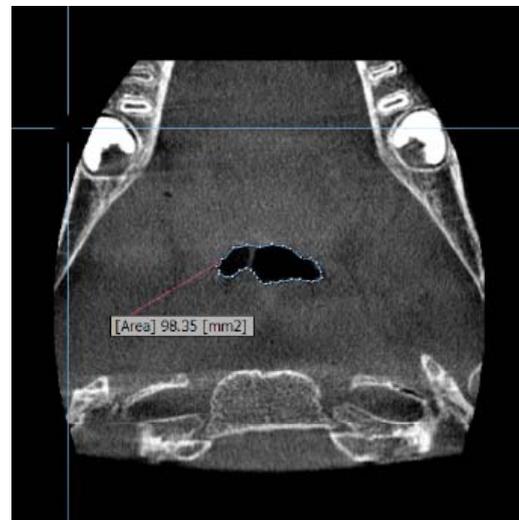
In order to segment the airway space, we open Fine Tuning and select from Load Preset, Airway in Opacity Preset to have optimal viewing conditions. We selected from the segmentation menu (Segmentation Menu) the 3D Picker icon and marked several points on the sagittal section, in the area of interest of the neck, which will represent the opacities (gray level) corresponding to the airway space

where we need to measure volume. After we pressed Start, and the program determines the patient airway space and determine the most restricted area of the airway (figure 4). We can adjust the gray level with the More and Less buttons. Select Operation - Select as a New Object, and then press the Ok button. You can see the segmentation of the airway space from a 3D perspective (figure 3). In the list of objects, the volume of the airway space will appear at the level of the newly created object.

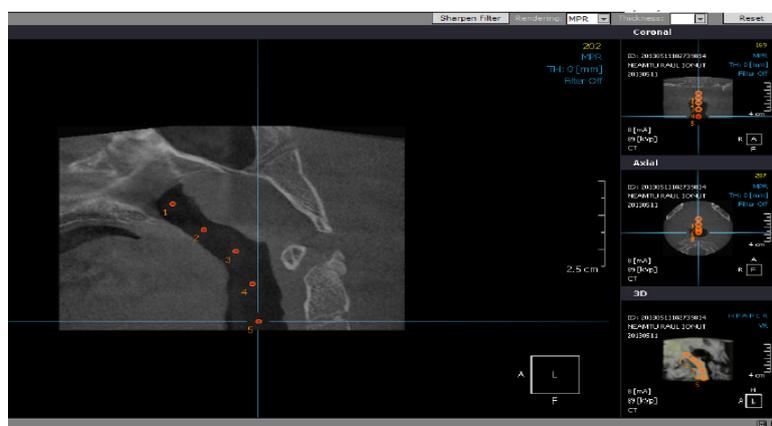
With the On demand 3D program we performed an endoscopy of the upper airways (figure 6). From the Task we chose CPR and placed the points for the airway space through which the endoscopic image will be reconstructed and then by pressing the Airway 1 button and the endoscopy can be run (figure 7).



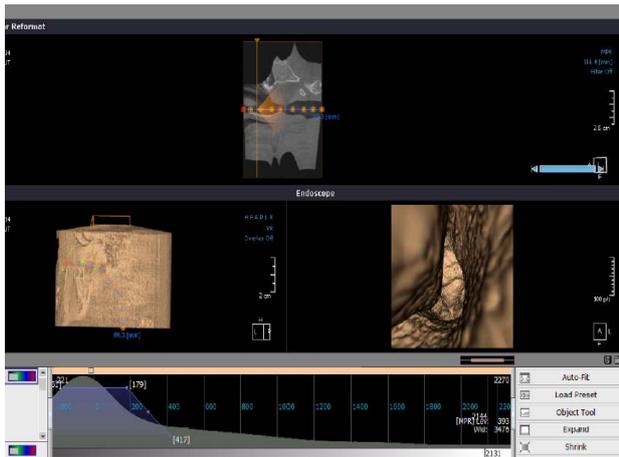
**Fig. 3.** Three-dimensional reconstruction of the upper airways



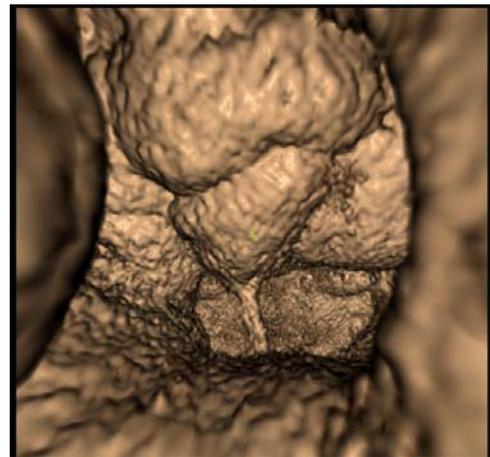
**Fig. 4.** Determination of the cross-sectional area of the airway in the most restricted area (98.35mm<sup>2</sup>)



**Fig. 5.** Determination of points of interest on the sagittal section



**Fig. 6.** The way to acquire an endoscope with the help of images provided by CBCT



**Fig.7.** The endoscopy provided by CBCT

**Discussion**

CBCT systems have been developed specifically for the maxillofacial region with the advantage of the reduced radiation doses compared with conventional CT. [5]

Clinicians who order or perform CBCT for orthodontic patients are responsible for interpreting the entire image volumes, just as they are responsible for interpreting all regions of other radiographic images that they order. [6]

With advances in medical care, the pharyngeal airway space of orthodontic patients is beginning to attract attention. The pharyngeal airway can be divided into three sections, namely the nasopharyngeal, oropharyngeal, and laryngopharyngeal airways. The nasopharyngeal and the oropharyngeal airways are demarcated by the retro palatal region of maxilla, whereas the oropharyngeal and the laryngopharyngeal airways are demarcated by the tip of the epiglottis. [7]

Over the past century, a large number of tests have been proposed to evaluate the upper respiratory tract on profile telerradiographs using linear and angular measurements and sagittal areas between cephalometric points. [8, 9] These points are defined by the overlapping projections of the different structures.

In the study about developing pediatric three-dimensional upper airway normative values, the authors, insisted that clinicians should utilize the proposed-there upper airway normative values for screening and assist in the timely diagnosis and management of pediatric sleep apnea. [4]

In a comparison between CT and profile telerradiography in the assessment of pharyngeal airspace, Aboudara et al. [10] found a significant correlation between the sagittal surface obtained by cephalometry and the volume obtained by CBCT, although the latter showed greater variability in patients with airway space similar to profile telerradiography. This was to be expected since only height and depth can be measured on profile telerradiography and therefore does not allow cross-examination (ie width).

Accuracy and reliability of airway measurements for volume and minimum area in CBCT images have been tested. Lenza et al. [11] had compared the linear, area, and

volumetric measurements by two examiners and found no significant differences.

Aboudara et al. [10] did that study to compare the nasopharyngeal airway size between a lateral head film and a CBCT scan in adolescent subjects and found that there is a significant positive relationship between nasopharyngeal airway size on a head film and its true volumetric size from a CBCT scan.

Ghoneima & Kula [5] did had investigated the accuracy of CBCT airway measurements by scanning the actual volume of an airway model. The results of their study showed that the CBCT digital measurements of the airway volume and the minimum area of the airway are reliable and accurate. [3]

The size of the airspace and the morphology vary when the patient inhales and exhales. [12]

The acquisition time of CT scans is about 20 – 40 seconds, too long for the individual to control their respiratory movements. Hopefully, in the near future, the time required will be shorter in order to prevent the patient's movements (breathing, swallowing and involuntary movements) from interfering with the results. Several imaging software programs are currently available for upper airway assessment. In addition to On demand 3D, Dolphin 3D, InVivo Dental, Mimics, OsirX, ITK-Snap, etc. can also be used.

Because the technology develops, the efforts to reduce exposure and to improve image quality have led to new innovations. These can include flat panel detectors with greater photon sensitivity, automatic exposure control with photon counting, customizable FOV collimation and image quality settings. Several studies have accumulated valuable data on technology assessment, craniofacial morphology in health and disease, treatment outcomes and efficacy of CBCT. Currently, the main limiting factor for widespread use of CBCT in orthodontics is the radiation dose especially in children. CBCT is a supplementary diagnostic aid with lot of radiation risk. It is not an essential diagnostic aid so it is unwise at present to make it mandatory for all patients. It is suggested that routine radiographs as well as 3D radiographs should not be prescribed routinely [14].

CBCT examinations must not be carried out unless a clinical examination have been performed. CBCT examinations must be justified for each patient.

### Conclusions

CBCT allows clinicians to assess the airspace and surrounding structures and determine nasal, oro- and hypopharyngeal measurements, such as the most constricted area, volume, and smallest antero-posterior and lateral dimensions of the pharynx in patients with respiratory problems. It is also possible to assess changes that may be induced by the treatment modality itself, and to identify which patient could benefit from such treatment. CBCT

will be able to guide orthodontic diagnosis and planning by showing clinicians about the effects of mechanotherapy applied to the airway space and the consequences of these effects. On demand 3D software allows rapid segmentation of the upper respiratory tract. Segmentation can be checked on 2D sections (axial, frontal, and sagittal). Three – dimensional measurements of airway volume and most constricted surface can be accurately performed.

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